

501.43326X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED
CENTRAL FAX CENTER
SEP 20 2006**

Applicants: Daisuke KATSUTA, et al.
Serial No.: 10/733,316
Filed: December 12, 2003
Title: METHOD OF TRADING INFORMATION
Group: 3639
Examiner: NELSON, Freda Ann
Confirmation No.: 9072

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 20, 2006

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find Form PTO/SB/08A listing two references, U.S. Patent Nos. 6,075,874 A and 6,282,362 B1, to be considered by the Examiner. Applicants note that since the references are U.S. Patents, a copy of the documents is not enclosed.

This Information Disclosure Statement is being submitted concurrently with the filing of a RCE Transmittal in the above-identified application. Clearly, requirements of 37 CFR §1.97(b) are satisfied.


In view of the foregoing, and again noting the concurrently filed RCE Transmittal, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Docket No. 501.43326X00
Serial No. 10/733,316
Information Disclosure Statement

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 501.43326X00).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP.


Leonid D. Thenor
Registration No. 39,397

LDT/vvr

Dated: September 20, 2006

PTO/SS/06A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known		RECEIVED CENTRAL FAX CENTER SEP 20 2006
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/733,316	
		Filing Date	12/12/2003	
		First Named Inventor	Daisuke KATSUTA	
		Art Unit	3639	
		Examiner Name	NELSON, Freda Ann	
Sheet 1 of 1	Attorney Docket Number	501.43326X00		

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 6,075,874	A	08-13-2000	Higashikubo et al.	
		US- 6,282,382	B1	08-28-2001	Murphy et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Page #
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.